



U.S. APPLICATION NO.

09/762,491

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A-68285/RMS

09/762,491

LLO

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INTERNATIONAL APPLICATION NO.

PCT/US99/17776

I.A. FILING DATE

PRIORITY DATE

08/06/99

08/06/98

07/23/01

DATE MAILED:

**NOTIFICATION TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**

Applicant has submitted papers under 35 U.S.C. 371 to enter the national stage in the United States of America. The items indicated below, however, are missing. The period within which to correct the deficiency noted below and avoid abandonment is set forth in the accompanying Notification.

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- ☒ The application fails to comply with the requirements of 37 CFR 1.821-1.825.
- ☐ This application does not contain, a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c).
- ☐ A copy of the "Sequence Listing" in computer readable format has not been submitted as required by 37 CFR 1.821(e).
- ☐ A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."

Computer readable form that has been filed with this application has been found to be illegible and/or unreadable as indicated on the attached CRF Diskette Problem Report. A legible computer readable form must be submitted as required by 37 CFR 1.825(d). A paper copy or compact disc of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e).

**YOU MUST PROVIDE:**

- 1. A legible computer readable form (CRF) of the "Sequence Listing."
- 2. A legible paper copy or compact disc of the "Sequence Listing," as well as an annotation directing its entry into the specification.
- 3. A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(f), 1.821(g), 1.825(b) or 1.825(d).

FOR QUESTIONS REGARDING COMPLIANCE WITH THESE REQUIREMENTS, PLEASE  
CALL:

(703) 308-4216, for Rules interpretation,  
 (703) 308-4212, for CRF submission help,  
 (703) 287-0200 for Patent Information

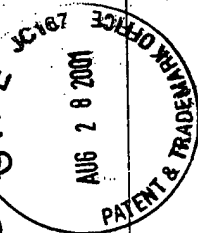
File A-68285 Atty Rms/DHR

Due Date

8/23/011-23-02

DISK TO STIC

DATE:



Application No.: 09762491

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☐ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☒ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: \_\_\_\_\_

**Applicant Must Provide:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

For PatentIn software help, call (703) 308-6856

**PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE**

# Immunology/Plant Pre-examination Checklist

Serial Number: 09762491

☐ DISK TO STIC  
☐ REQUEST TO STIC

- A.**
- |    | N/A                                 | YES                                 | NO                                  |   |
|----|-------------------------------------|-------------------------------------|-------------------------------------|---|
| 1  | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | Sequence in Case  |
| a. | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | Paper Copy of Sequence Present                                    |
| b. | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | Statement that Disk and Paper Copy are the Same                   |
| 2  | <input type="checkbox"/>            | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | 2964 CRF Entered  |
| 3  | <input type="checkbox"/>            | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | Sequence Complies with Sequence Rules (If No see Attached Letter) |
| 4  | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/>            | CRF entered in parent   |
| 5  | <input type="checkbox"/>            | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | Figures seen by draftsman   |
| 6  | <input type="checkbox"/>            | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | Abstract  |
| 7  | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | Oath/Declaration in file  |
| 8  | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | Oath/Declaration signed by all applicants                         |
| 9  | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | Oath/Declaration includes all residences                          |
| 10 | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | Oath/Declaration includes Foreign continuity Data                 |
| 11 | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | Oath/Declaration includes US continuity Data                      |
| 12 | <input type="checkbox"/>            | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | Corrected Filing Receipt Requested                                |

**B. Examiner** - Please check to determine if the following are required:

- |   |                          |                                     |                          |  |
|---|--------------------------|-------------------------------------|--------------------------|--|
| 1 | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | Restriction Requirement  |
| 2 | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | Sequences in claims not in compliance with sequence rules and may be required to search case |

If any of part B above are necessary, please act on and complete within 15 days.

\_\_\_\_\_  
Reviewers Name